

# 2023 E-HUG 5/4/2023



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# 2022 EHUG

- Please ensure that you are muted
- If you have questions, enter them in the chat and we will address them at the end of the presentation

The meeting will begin in 5 minutes...

# Agenda

- CMS Updates
- Medicare Advantage Coding Updates
- Most Common Documentation Errors
- SCAN Updates
- Q&A



# CMS Updates Francine Odee – Sr. Director, Risk Adjustment

## **RADV Final Rule – Key Provisions**

- No FFS Adjuster: CMS will not apply a FFS Adjuster to any RADV audit amounts for any year
- Extrapolation: CMS will extrapolate RADV audit amounts beginning with audits of PY 2018
  - CMS may, in limited circumstances, decide not to extrapolate
- Prior Years: CMS will finalize RADV audits for PYs 2011- 2017, but without extrapolation
  - Beginning April 3, CMS will notify affected plans on a rolling basis of audit findings going back to PY 2011
- OIG Audits: CMS intends to recover overpayment amounts from OIG RADV audits under same rules as CMS audits
- Audit Methods:
  - Going forward, CMS will rely on any statistical valid method for sampling and extrapolation that it determines to be well-suited to a particular audit
  - In selecting plans and/or diagnoses for audit ,CMS will focus on plans and enrollee HCCs that are identified as being at highest risk for improper payments
- Projected Recoveries: 2023: \$13.1 million, 2024: \$28.0 million, 2025: \$479.4 million (extrapolation on PY2018) Over 10 years (2023 – 2032): \$4.7 billion

## Changes to Risk Adjustment Model / PY2024 Announcement

	Current 2020 V24 Model	V28 Model
Model Phase in over 3 years • PY2024 blend	67%	33%
Model Recalibration		
FFS Claims diagnosis date of service year update	2014 and 2015	2018 and 2019
Cohort of Beneficiaries - Denominator year update	2015	2020
Model Reclassification	9,797 Dx codes 86 HCC	7,770 Dx codes 115 HCC
Diagnostic Classification System update	ICD-9 CM	ICD-10 CM
Principal 10 Clinical update		Removal of discretionary diagnostic categories and those that are not cost predictors
• HCC Constraints update (Coefficients equal for multiple HCC codes within a Disease Hierarchy Group)	4 Disease Groups	8 Disease Groups

# Medicare Advantage Coding Updates Lilly Sarino – Manager, Coding Quality Ifedayo Kuye, M.D., VP, Medical Director of Clinical Operations

#### **Medicare Advantage Coding Updates**

- The following education will highlight the differences between the v24 and v28 Part C HCC model.
  - Both models are active for 2023 2025 DOS and it is recommended that education incorporates v24 and v28.

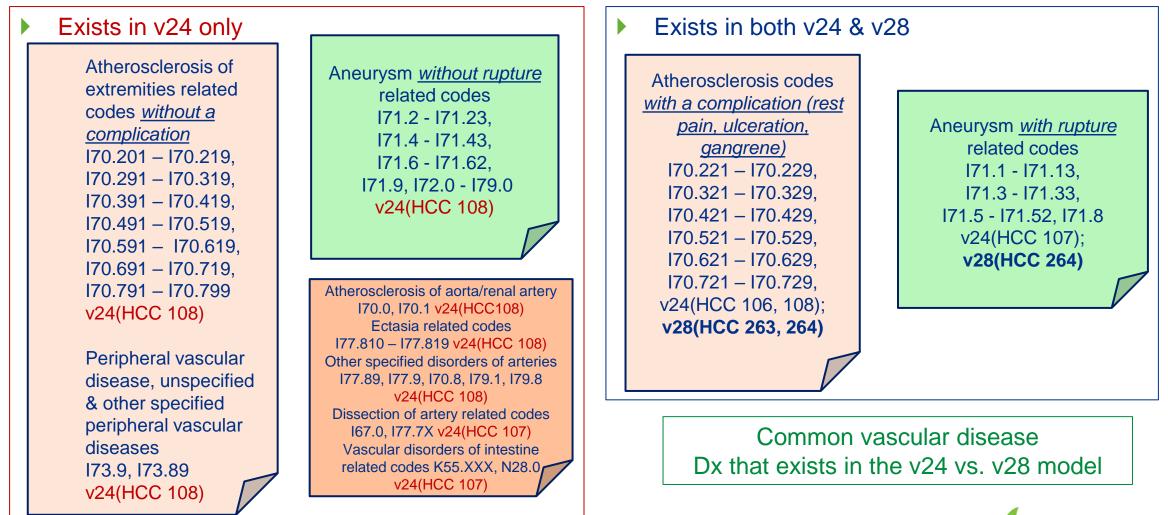


## **General Guidance for Diagnosing to Specificity**

- Continue to diagnose unspecified condition related to lab findings or exam but make greater effort at determining if further testing is needed to find more specific diagnosis (i.e., ITP)
- Greater need to review specialist documentation to include specific diagnosis that may have been revealed in their evaluation
- Recognize that diagnosis of clinically relevant complications of a given disease are more likely to be accepted for risk adjustment (i.e., PVD w/ Ulceration)



# Vascular – common codes





# Example – Atherosclerosis of the extremity

 Atherosclerosis related codes <u>without a complication</u> v24(HCC 108); v28(not an HCC)

Arteriosclerosis of artery of extremity (170.209)

- With DM, stable. seen on R hip xray 10/2012 extensive vascular calcification.
- Stable. Maintain good BP and LIPID control. Continue to monitor lipid levels and BP. Follow-up with cardiology and/or PCP as indicated.
- Atherosclerosis related codes <u>with a complication (rest pain, ulceration, gangrene)</u> v24(HCC 106, 108); v28(HCC 263, 264)

Atherosclerosis of native artery of extremity with rest pain (CMS-HCC) Chronic. Stable. Findings on consult note dated 01/24/2021, patient is followed by Dr. Patient is taking meds- ASA 81 mg po daily and Praluent 150 mg/mL Continues to have BLE associated with neuropathy. Plan: Repeat lipid panel annually. Follow-up with pcp for results. Continue current medications-ASA 81 mg po daily and Praluent 150 mg/mL



## V24 Diagnosis: Atherosclerosis without complication

V28 Diagnoses	How to diagnose	General Tips
Atherosclerosis w/ complications (rest pain, ulceration, gangrene)	<ul> <li>Vascular ultrasound demonstrating calcification</li> <li>Clinical symptoms consistent with rest pain, gangrene and/or ulceration related to suspected vascular disease</li> </ul>	<ul> <li>Evaluate and document presence of clinical complications, if present.</li> <li>Ok to use clinical judgement to relate suspected diagnosis with underlying condition without imaging</li> </ul>

Reference: https://www.uptodate.com/



# Blood – common codes

- Exists in v24 only
  - Coagulation and hemorrhagic disorders
    - Disseminated intravascular coagulation [defibrination syndrome] D65 v24(HCC 48)
    - Other coagulation defects related codes D68.XXX v24(HCC 48)
    - Other primary thrombophilia D68.59, D68.69, D68.69 v24(HCC 48)
    - Antiphospholipid syndrome, Lupus anticoagulant syndrome D68.61, D68.62 v24(HCC 48)
    - <u>Allergic purpura</u>, <u>Other</u>
       <u>nonthrombocytopenic</u> purpura D69.0, D69.2

- Exists in both v24 & v28
  - Essential (hemorrhagic) thrombocythemia D47.3 v24(HCC 48); v28(HCC 23)
  - Coagulation and hemorrhagic disorders
    - Hereditary factory deficiency related codes D66, D67, D68.1, D68.2 v24(HCC 46, 48); v28(HCC 111, 112)
    - Von Willebrand disease related codes D68.0 - D68.09 v24(HCC 48); v28(HCC 112)
    - Acquired hemophilia D68.311 v24(HCC 48); v28(HCC 112)
    - Immune thrombocytopenic purpura D69.3 v24(HCC 48); v28(HCC 112)



# Blood common code tips

- Coagulation defect, unspecified D68.9 v24(HCC 48)
  - More specific codes in <u>v28</u>
    - o D66 Hereditary factor VIII deficiency
    - D67 Hereditary factor IX deficiency
    - D680 Von Willebrand's disease
    - o D6800 Von Willebrand disease, unspecified
    - D6801 Von Willebrand disease, type 1
    - D68020 Von Willebrand disease, type 2A
    - D68021 Von Willebrand disease, type 2B
    - D68022 Von Willebrand disease, type 2M
    - D68023 Von Willebrand disease, type 2N
    - o D68029 Von Willebrand disease, type 2, unspecified
    - D6803 Von Willebrand disease, type 3
    - D6804 Acquired von Willebrand disease
    - o D6809 Other von Willebrand disease
    - D681 Hereditary factor XI deficiency
    - D682 Hereditary deficiency of other clotting factors
    - o D68311 Acquired hemophilia

- Other nonthrombocytopenic purpura D69.2 v24(HCC 48)
  - More specific codes in <u>v28</u>
    - D693 Immune thrombocytopenic purpura
    - D6942 Congenital and hereditary thrombocytopenia purpura
- Thrombocytopenia, unspecified D69.6 v24(HCC 48)
  - More specific codes in <u>v28</u>
    - D693 Immune thrombocytopenic purpura
    - D6941 Evans syndrome
    - D6942 Congenital and hereditary thrombocytopenia purpura
    - D6949 Other primary thrombocytopenia



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## V24 Diagnosis: Thrombocytopenia, unspecified

V28 Diagnoses	How to diagnose	General Tips
Immune thrombocytopenic purpura	Diagnosis of exclusion. Platelet count of <100,000 without anemia, leukopenia or other apparent causes of thrombocytopenia	
Evans syndrome	Autoimmune hemolytic anemia, immune thrombocytopenic purpura and/or autoimmune neutropenia	<ul> <li>Evaluate labs that have been done in the past that can provide a more specific diagnosis</li> <li>Connect concurrent clinical conditions to lab changes</li> </ul>
Congenital and hereditary thrombocytopenic purpura	Peripheral smear w/ schistocytes, hemolysis (elevated indirect bilirubin, LDH) and ADAMST13 activity	- Read specialist notes, if a consult has been made in the past
Other primary thrombocytopenia	Caused by: Drugs (Linezolid), Infections (HIV, HCV), Alcoholism, Nutritional deficiencies, Rheumatologic conditions (SLE)	

Reference: https://www.uptodate.com/



# Blood common code tips continued

- Immunodeficiency:
  - due to conditions classified elsewhere D84.81 v24(HCC 47)
  - due to drugs D84.821 v24(HCC 47)
  - unspecified D84.9 v24(HCC 47)
- Documentation example: Immunodeficiency due to conditions classified elsewhere v24(HCC 47); v28(not an HCC)

#### Immunodeficiency due to conditions classified elsewhere (D84.81)

 Patient immunocompromised secondary to uncontrolled diabetes, advised to be compliant with diet and medications to avoid infections,

Patient reminded about importance of flu vaccine and pneumonia vaccines, as she has an increased risk for infection secondary to being a diabetic, patient declined both vaccines.

Advised to call if she changes her mind.

- More specific codes in <u>v28</u>
  - D61818 Other pancytopenia
  - D700 Congenital agranulocytosis
  - D704 Cyclic neutropenia
  - D71 Functional disorders of polymorphonuclear neutrophils
  - D720 Genetic anomalies of leukocytes
  - D761 Hemophagocytic lymphohistiocytosis
  - D801 Nonfamilial hypogammaglobulinemia
  - D802 Selective deficiency of immunoglobulin A [IgA]
  - D803 Selective deficiency of immunoglobulin G [IgG] subclasses
  - D804 Selective deficiency of immunoglobulin M [IgM]
  - D805 Immunodeficiency with increased immunoglobulin M [IgM]
  - D89810 Acute graft-versus-host disease
  - D89811 Chronic graft-versus-host disease
  - D89812 Acute on chronic graft-versus-host disease
  - D89813 Graft-versus-host disease, unspecified



# Psychiatric – common codes

#### Exists in v24 only

- Bipolar disorder, <u>currently in remission</u>, most recent episode <u>unspecified</u> F31.70 v24(HCC 59)
- Bipolar disorder, <u>in full remission</u>, most recent episode hypomanic, manic, depressed, or mixed F31.72, F31.74, F31.76, F31.78 v24(HCC 59)
- Manic episode in full remission F30.4 v24(HCC 59)
- Poisoning of drug <u>sequela</u> codes v24(HCC 59)
- Exists in both v24 & v28
  - <u>Drug</u> dependence, in remission F11.21, F12.21, F13.21, F14.21, F15.21, F16.21, F18 21, F19.21 v24(HCC 55); v28(HCC 139)
  - Drug abuse, in remission F11.11, F13.11, F14.11, F15.11, F16.11, F18.11, F19.11 v24(HCC 56); v28(HCC 138)

#### Exists in both v24 & v28

- Bipolar disorder, <u>current episode specified</u>, such as: hypomanic, manic, depressed, or mixed; partial remission F31.0 - F31.64, F31.71, F31.73, F31.75, F31.77 v24(HCC 59); v28(HCC 154)
- <u>Other</u> bipolar disorders F31.81, F31.89, F31.9
   v24(HCC 59); v28(HCC 154)
- Schizoaffective disorder, bipolar type v24(HCC 57); v28(HCC 151)
- Poisoning of drug <u>initial</u> encounter codes v24(HCC 55, 59); v28(HCC 137, 155)
- <u>Alcohol</u> dependence, in remission F10.21 v24(HCC 55); v28(HCC 137)



# **Example - Bipolar Disorder**

Bipolar disorder, <u>currently in remission</u>, most recent episode <u>unspecified</u> v24(HCC 59); v28(not an HCC)

> 3. Bipolar disorder, currently in remission, most recent episode unspecified

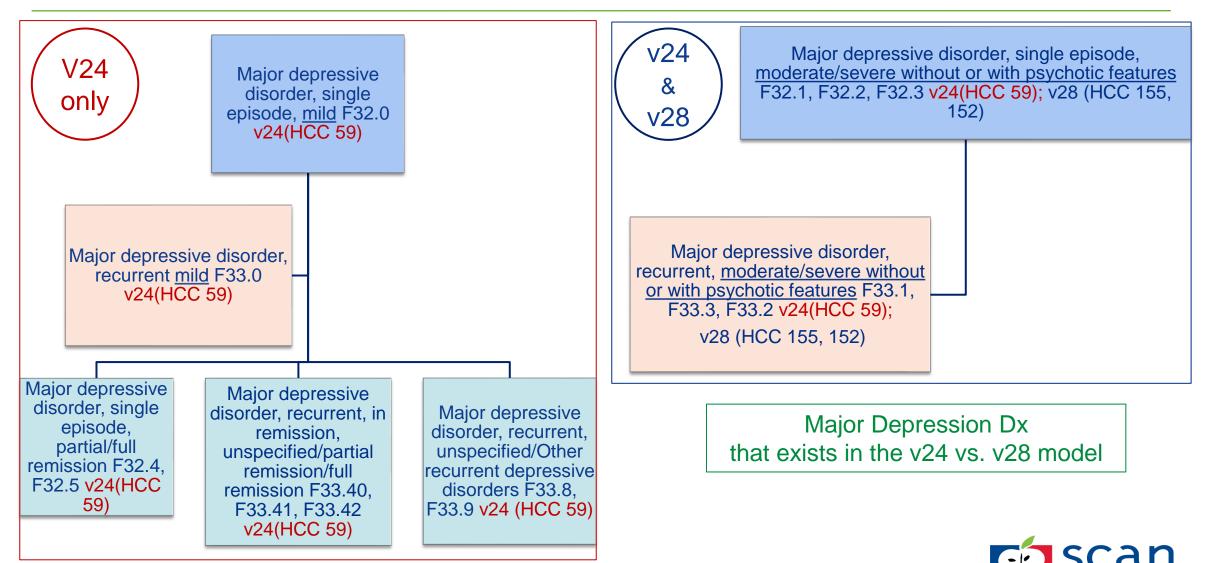
Continue divalproex sodium delayed release tablet, 250 mg, 1 tab(s), orally, 2 times a day Clinical Notes: stable cont monitoring for worsening s/sx , f/u with pcp as scheduled.

 Bipolar disorder, <u>in partial remission</u>, most recent episode <u>depressed</u> v24(HCC 59); v28(HCC 154)

> **Bipolar disorder, in partial remission, most recent episode depressed F31.75** Current meds include: Continue Lamictal tablet, 100 mg, 1 tab(s) po, 2 times a day Plan: Monitor for acute behavioral/mental status changes.



## Major Depression



## **Example - Major Depression**

Major depressive disorder, recurrent, *mild* v24(HCC 59); v28(not an HCC)

Assess Condition: Major depressive disorder, recurrent, mild (F33.0)

STATUS: Unchanged

TODAY'S ASSESSMENT: Appears stable on current meds

#### <u>Moderate</u> major depression v24(HCC 59); v28(HCC 155)

Assessment	Moderate major depression (F32.1), chronic.
Patient Plan	3/2/21: PHQ9=13. Ref'd to Claire Peng -Integrated Behavioral Health for Please help with
	therapist appt for Spanish speaking pt.
	4/23/21: Pt is waiting to see BH therapist
	6/11/21: Submitted a new referral to Clinical Psychology for evaluation.
	7/9/21: Pt is waiting for f/u w/ therapist
	8/6/21: PHQ9=15. Pt declined meds or referral to psych at this time
	10/8/21: Pt reports stable mood
	11/11/21: Pt reports stable mood
	7/29/2022: Patient reports he is mood has been stable
	9/10/2022: The patient reports stable mood. PHQ2=0



## Metabolic – common codes

#### Exists in v24 only

- <u>Malnutrition/Cachexia</u> related codes E40 E43, E44.0, E44.1, E45, E46.X, R46 v24(HCC 21)
- <u>Hyperparathyroidism</u> related codes v24(HCC 23) E21.0 E21.3, N25.81 v24(HCC 23)
  - Tip: Hyperparathyroidism can be seen in Multiple endocrine neoplasia [MEN] syndrome
- Other specified disorders of adrenal gland E27.,8, E27.9
  - Tip: If they have adrenal tumor, they might have Multiple endocrine neoplasia [MEN] syndrome
- Hypoparathyroidism related codes E20.0, E20.8, E20.9, E89.2 v24(HCC 23)
- Hyperaldosteronism related codes E26.09, E26.1, E26.81, E26.89, E26.9 v24(HCC 23)
- Adrenocortical related codes E27.0 E27.5 v24(HCC 23)

- Exists in both v24 & v28
  - Morbid (severe) obesity related codes & BMI 40.0 and above E66.01, E66. 2, Z68.41 - Z68.45 v24(HCC 22); v28(HCC 48)
  - <u>Multiple endocrine neoplasia [MEN] syndrome</u> related codes E31.20 - E31.23 v24(HCC 23); v28(HCC 51)
  - Polyglandular dysfunction E31.8, E31.9 v24(HCC 23); v28(HCC 51)



Cachexia v24(HCC 21); v28(not an HCC)

#### Diagnose: Cachexia (R64)

BACKGROUND: patient refusing intake TODAY'S ASSESSMENT: order feeding by CG, cont ensure TID routine

Morbid Obesity v24(HCC 22); v28 (HCC 48)

#### 9. Morbid obesity

Clinical Notes: BMI >36, and with DM, htn- per clinical opinion, pt has morbid obesity. Stable. Recommend weight loss.



## Heart common codes

- Exists in v24 only
  - Angina pectoris related codes I20.X, I25.XXX v24(HCC 88)
  - Cardiomyopathy due to drug and external agent I42.7 v24(HCC 85)
  - Supraventricular tachycardia I47.1 v24(HCC 96)
- Exists in both v24 & v28
  - <u>Unstable</u> angina related codes I20.0, I23.7, I25.XXX v24(HCC 87); v28(HCC 229)
  - Cardiomyopathy & Myocarditis related codes A36.81, B33.24, I42.X, I43, I51.X v24(HCC 85); v28(HCC 227)
  - Specified Heart Arrhythmia related codes I44.2, I47.2, I47.2X, I47.9, I48.0, I48.XX, I48.3, I48.4, I48.XX, I49.3, I49.5 v24(HCC 96); v28(HCC 238)
  - Acute Myocardial Infarction related codes I21.XX, I22.XX, I51.1, I51.2 v24(HCC 86); v28(HCC 228, 229)

- Other Acute Ischemic Heart Disease related codes I23.X, I24.X v24(HCC 87); v28(HCC 229)
- End stage heart failure I50.84 v24(HCC 85);
   v28(HCC 222)
- Acute on Chronic Heart Failure related codes I50.XX v24(HCC 85); v28(HCC 224)
- Acute Heart Failure related codes I50.XX, I50.8XX v24(HCC 85); v28(HCC 225)
- Heart Failure related codes I09.81, I50.XX, I50.8XX v24(HCC 85); v28(HCC 226)
- Hypertensive heart related codes I11.0, I13.X v24(HCC 85); v28(HCC 226)
- Pulmonary heart & vessel related codes I27.XX I28.X v24(HCC 85); v28(HCC 226)
- Pulmonary embolism with acute cor pulmonale related codes I26.XX v24(HCC 85); v28(HCC 267)



## Example - Angina

Angina, <u>unspecified</u> v24(HCC 88); v28(not an HCC)

# Unstable angina v24(HCC 87); v28(HCC 229)

#### Angina pectoris -

SEEN AT LAMC ER ON 5/10/18

PRESENTLY ASYMPTOMATIC

120.9: Angina pectoris, unspecified ANGINA: CARE INSTRUCTIONS 

 1. Coronary artery disease involving native coronary artery of native heart with unstable angina poctoris (HCC)
 125.110

 2. White coat syndrome with diagnosis of hypertension
 110

 3. Hyperlipidemia, mixed
 E78.2

\*Note: Unstable Angina is a life-threatening acute condition that is typically treated in ER/hospital settings. Documentation in the record must reflect that the patient had unstable angina at the time of visit.



## Neurological – common codes

v24 Parkinsonism <u>due to other disease</u> related codes G21.XX v24(HCC 78)

> Polyneuropathy related codes G61.XX, G62.XX, G63, G65.XX v24(HCC 75)

Respiratory conditions due to other external agents J70.X v24(HCC 112)

#### v28

Parkinson's disease related codes G20, G21.X v24(HCC 78); v28(HCC 199)

Myasthenia Gravis without (Acute) Exacerbation and Other Myoneural Disorders related codes G70.XX, G73.X v24(HCC 75); v28(HCC 196)



# Musculoskeletal – common codes

#### Exists in v24 only

- Osteonecrosis due to drugs related codes M87.1XX v24(HCC 39) Spinal enthesopathy, Other infective spondylopathies, Other specified inflammatory spondylopathies, Unspecified inflammatory spondylopathy, Spondylopathy in diseases classified elsewhere related codes M46.0X, M46.5X, M46.8X, M46.9X, M49.8X v24(HCC 40)
- Sjogren syndrome <u>without lung involvement</u> related codes M35.0X v24(HCC 40)
- Drug-induced systemic lupus erythematosus/Systemic sclerosis induced by drug and chemical codes M32.0, M34.2 v24(HCC 40)
- Systemic sclerosis related codes M34.8X v24(HCC 40)

#### Exists in both v24 & v28

- Osteonecrosis due to previous trauma/other secondary osteonecrosis/other osteonecrosis, osteonecrosis unspecified, osteonecrosis in diseases classified elsewhere related codes M87.2XX, M87.3XX, M87.8XX, M87.9, M90.5XX v24(HCC 39); v28(HCC 92)
- Psoriatic spondylitis, Juvenile ankylosing spondylitis, Ankylosing spondylitis, Non-radiographic axial spondyloarthritis, Other specified spondylopathy related codes L40.53, M08.1, M45.X M48.8XX v24(HCC 40); v28(HCC 93)
- Sjogren syndrome with lung involvement M35.02 v24(HCC 40); v28(HCC 280)



# New HCCs added to v28

Malignant pericardial effusion in diseases classified elsewhere I31.31, Malignant pleural effusion J91.0, Malignant ascites R18.0 v28(HCC17)	Benign carcinoid tumor D3A.XXX v28(HCC 22)	Disorders of copper metabolism E83.XX v28(HCC 50)	Toxic liver disease with fibrosis and cirrhosis of liver K71.7 v28(HCC 64)	Alcoholic hepatitis/ Toxic liver disease K70.10, K70.11, K71.XX v28(HCC 65)
Other diseases of biliary duct K83.XX,Q44.X v28(HCC 68)	Newborn Digestive system disorder P77.X, P78.0, Q4X.X 28(HCC 78)	Fournier gangrene N49.3 v28(HCC 92)	Arthropathy/ myositis D86.86, D86.87, G72.41 v28(HCC 93)	Congenital dyserythropoietic anemia/Newborn codes P55.X, P56.X, D64.4 v28(HCC 109)
	Newborn affected by maternal use P04.XX, P93.X, P96.X v28(HCC 137)	Anorexia/Bulimia nervosa related codes F50.XX v28(HCC 153)	Postpolio syndrome/Birth injury to spine and spinal cord G14, P11.5 v28(HCC 182)	



# New HCCs added to v28 continued

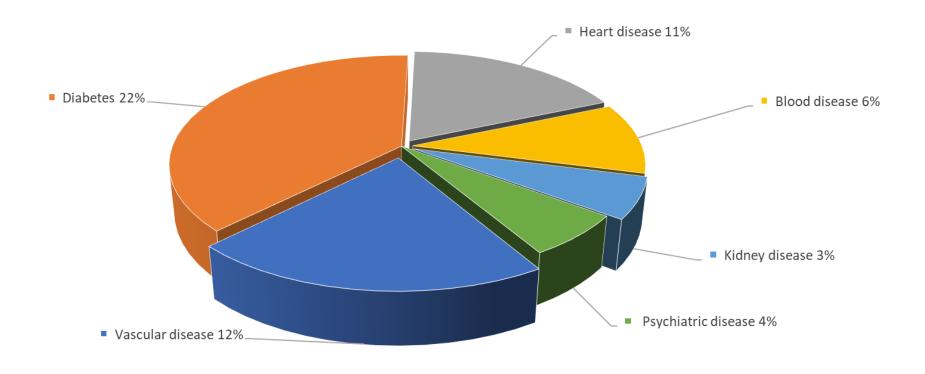
Newborn Kernicterus P57.X v28(HCC 192)	Convulsions of newborn P90 v28(HCC 201)	Other disturbances of cerebral status of newborn P91.XXX v28(HCC 202)	Respiratory arrest of newborn P28.81 v28(HCC 212)	Respiratory complications of newborn P22.0, P26.X, P27.X,P28.XX, P29.81 v28(HCC 213)
Artificial heart with complicationsT82.5XXA v28(HCC 223)	Neonatal cardiac failure P29.0 v28(HCC 225)	Hemorrhage of newborn/due to birth injury P10.X, P11.1, P11.2, P52.XX v28(HCC 248)	Severe persistent asthma, uncomplicated/with (acute) exacerbation/with status asthmaticus J45.50, J45.51, J45.52 v28(HCC 279)	Central/Tributary retinal vein occlusion H34.8110 - H34.8392 v28(HCC 298)
	Pemphigus, Pemphigoid, and Other Specified Autoimmune Skin Disorders D86.3, L10.XX, L12.X, L88 v28(HCC 387)	Epicranial subaponeurotic hemorrhage due to birth injury P12.2 v28(HCC 399)	Presence of artificial right, left or bilateral leg(s) (complete) (partial) Z97.13, Z97.14, Z97.16 v28(HCC 409)	



# Mallory Tanglao – Coding Quality Specialist

# **Disease Groups with Highest Documentation Errors\***

High Error Rates





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\*Based on SCAN Audit Results

# **Documentation Best Practices – MEAT**



- Signs
- Symptoms
- Disease progression
- Disease regression

- Test results
- Medication
   effectiveness
- Response to treatment
- Physical exam findings

- Ordered Tests
- Record review
- Discussion
- Counseling

- Medication
- Referral
- Therapies
- Plan for management



# **Common Documentation Errors**

# **Documentation Errors**

- Diagnosis is listed without support
- Overcoded diagnoses
- Wrong ICD 10 code
- Contradictory diagnoses
- NEC "Not Elsewhere Classified", "Other code"



# Diagnosis listed without support

- Diagnoses that are addressed during the visit:
  - must be clearly documented and supported with a current status, assessment, treatment or plan.
  - MEAT (Monitor, Evaluate Assess, Treat) can be used for completeness.

Not Validated: Mild Major depression F32.0 is listed under the Assessment & lacks support.

#### Assessment Assessed 1. Screening for breast cancer (Z12.39) mammo: due, covid jan 20 mammo 1st per breast ctr, covid v after nl result 2. Cataract (H26.9) 3. Mild major depression (F32.0) Vitreous detachment, right (H43.811) 10/27/16 in el salvador:: OD flash of lights, shadows, saw opthal, dx R partial vitreous detachment, sees floaters, also cat P: con to opthal to update 5. Obesity (BMI 30.0-34.9) (E66.9) bmi 33.36 increased P: diet, lose wt 6. History of COVID-19 (Z86.16) 1/17/22 ha.achy.cough.nasal sz.sob.tired 1/20/22 covid sx 1/29/22 sx resolved 1/17/22 ha.achy.cough.nasal sz.sob.tired 1/20/22 covid sx 1/29/22 sx resolved



## Overcoded

Multiple ICD - 10 codes	G63 Polyneuropat	hy in diseases classified elsewhere
are		
documented/submitted		
with the diagnosis	Code first ur	nderlying disease, such as:
• Per ICD -10	amyloidosi	is (E85) ( <u>E85-E85.9</u> )
guidelines an Excludes1 note	endocrine	disease, except diabetes (E00-E07, E15-E16, E20-E34) (E00-E07.9, E15-E16.9, E20-E34.9, )
indicates that the 2	metabolic	diseases (E70-E88) (E70-E88.9)
codes should never	neoplasm	(C00-D49) ( <u>C00-D49.9</u> )
be submitted	nutritional	deficiency (E40-E64) (E40-E64.9)
together.	EXCLUDES 1	polyneuropathy (in):
		diabetes mellitus (E08-E13 with .42) (E09-E13.9)
		diphtheria (A36.83) (A36.83)
		infectious mononucleosis complicated by polyneuropathy (B27.0-B27.9 with fifth character 1) (B27.01, B27.11, B27.81, B27.91)
		Lyme disease (A69.22) (A69.22)
		mumps (B26.84) (B26.84)
		postherpetic (B02.23) (B02.23)
		rheumatoid arthritis (M05.5-) ( <u>M05.5-M05.59</u> )
	L	
		Assessment

Example: Polyneuropathy in diseases classified elsewhere G63 v24(HCC 75) is the incorrect code

Polyneuropathy in rheumatoid arthritis - 1 code M05.50 v24(HCC 40 and 75)

Diagnoses attached to this encounter:

Polyneuropathy in rheumatoid arthritis [ICD-10: M05.50], [ICD-10: G63], [ICD-9: 714.0], [ICD-9: 357.1], [SNOMED: 193180002] Comment: stable; pt does report some numbness and tingling in toes; abnormal DPN 2020; cont Xeljanz and leflunomide; f/u with rheumatology when due



Reference: Optum 360 (2022), ICD-10-CM Expert for Physicians. The complete official code set.

# Wrong ICD - 10 code

- Diagnosis is documented or submitted with the wrong ICD -10 code
  - EMR systems do not always have the correct code assigned to each diagnosis.
    - Coders review each supported narrative diagnosis to ensure the correct code is assigned.
    - Each supported diagnosis is referenced in the alphabetic index of the ICD -10 CM code set & confirmed in the tabular list for the complete code.

# Example #1: I48.0 is resolved, change code to I48.91 Afib unspecified

Afib w RVR-now resolved MTP increased to 100mg bid HTN-Lisinopril held IP 2/2 orthostat Presence of ICD-unclear ind Medtronic -Warfarin, MTP 100mg bid -INR, PT

# Example #2: Polyneuropathy in diabetes is E11.42 not E08.42

#### Assessment Notes

cerumen was cleared with lavage and instrumentation DM w/neuro complications has been under good control - being managed by endocrinology his neuropathy (due to DM) has been stable so routine foot care advised. reassurance for uncomplicated appearing senile purpura - routine skin care discussed. CKD Stage IIIa has been stable - we will notify him of lab results. abdominal aortic atherosclerosis - seen on CT in 2017 - we will continue risk factor modification. DIAGNOSIS: Polyneuropathy in diabetes [ICD-10: E08.42], [ICD-9: 357.2], [SNOMED: 49455004] Senile purpura [ICD-10: D69.2], [ICD-9: 287.2], [SNOMED: 53751009] Abdominal aortic atherosclerosis [ICD-10: I70.0], [ICD-9: 440.0], [SNOMED: 233955003] Chronic kidney disease, stage 3a [ICD-10: N18.31], [SNOMED: 700378005]



# **Contradictory diagnoses**

- Inconsistent & contradictory documentation
  - This note has 2 different types of diabetes mellitus documented
  - Per ICD -10 guidelines an Exludes1 note indicates that the 2 codes should never be submitted together.

E08 Diabetes m	ellitus due to underlying condition
Code first	t the underlying condition, such as:
congeni	tal rubella (P35.0) ( <u>P35.0</u> )
Cushing	syndrome (E24) (E24-E24.9)
cystic fit	prosis (E84) ( <u>E84-E84.9</u> )
maligna	nt neoplasm (C00-C96) ( <u>C00-C96.Z</u> )
malnutri	tion (E40-E46) ( <u>E40-E46</u> )
pancrea	titis and other diseases of the pancreas (K85-K86) (K85-K86.9)
Use addit	ional code to identify control using: (Z79.4)
insulin (	Z79.4) ( <u>Z79.4</u> )
oral anti	diabetic drugs (Z79.84) (Z79.84)
oral hyp	oglycemic drugs (Z79.84) (Z79.84)
EXCLUDES 1	drug or chemical induced diabetes mellitus (E09) (E09-E09.9)
	gestational diabetes (O24.4-) (O24.4-O24.439)
	neonatal diabetes mellitus (P70.2) (P70.2)
	postpancreatectomy diabetes mellitus (E13) (E13-E13.9)
	postprocedural diabetes mellitus (E13) (E13-E13.9)
	secondary diabetes mellitus NEC (E13) (E13-E13.9)
	type 1 diabetes mellitus (E10) (E10-E10.9)
	type 2 diabetes mellitus (E11) (E11-E11.9)

• Example: DM due to other underlying conditions E08.22 (DM due to CKD) vs. DM type 2 E11.22 (CKD due to DM)

ssessments	
Chronic Kidney Disease, Stage 3	
DM type 2 with diabetic dyslipidemia	
Type 2 diabetes mellitus without retinopathy	
DM type 2 with diabetic peripheral neuropathy	
Neurofibromatosis	
DM due to underlying condition with diabetic chronic kidney disease.	
Type 2 diabetes mellitus with diabetic chronic kidney disease	
Medicare annual wellness visit, subsequent	
Atherosclerosis of aorta	
Type 2 diabetes mellitus with diabetic cataract	
It is important to note contradictory information throughou	t the

record. If this happens, we would steer clear from capturing any conditions that are questionable.

Reference: Optum 360 (2022), ICD-10-CM Expert for Physicians. The complete official code set.



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### NEC "Not Elsewhere Classified", "Other code"

- Conditions with the abbreviation "NEC," (not classified elsewhere)
  - follows some main terms or subterms
    - indicates that there is <u>no specific code</u> for the condition even though the medical documentation may be very specific.
  - \*Tip: The type of NEC complication needs to be documented.

Example #1: Needs specific conditions of "neurologic complication" such as *feet loss of sensation due to DM, myoneural disorder* ...

#### **Physical Exam**

Cardiac (Brief): heart rate and rhythm were normal, normal S1 and S2, no gallops, no murmurs and no pericardial rub.

**Pulmonary (Brief):** no respiratory distress, normal respiratory rhythm and effort, no accessory muscle use, clear bilateral breath sounds, palpation of the chest revealed no abnormalities and the lungs were normal to percussion.

Extremities (Brief): no clubbing of the fingernails, no localized cyanosis, no edema and normal pulses.

Psych (Brief): Observed mood and affect:not agitated, not anxious and not depressed. Judgment:judgment not impaired and insight not impaired. Speech:normal rate and normal rhythm. Thought processes:normal thought processes. Abnormal Thoughts:no hallucinations, no suicidal ideation and no homicidal ideation.

#### Assessment

#### Assessed

1. Diabetes with neurologic complications (E11.49)

 uncontrolled from last labs HA1c 8.8%, Ordered labs. Advised compliance with diet and exercise Example #2: Needs specific conditions of "circulatory complication" such as *Erectile dysfunction…* 

ssessment	
Assessed	
<ol> <li>Angina pectoris (I20.9)</li> </ol>	
<ul> <li>STABLE NO SIGN RECEI</li> </ul>	NT EPISODES MONITOR
<ol><li>Atherosclerosis of aorta (I70.</li></ol>	.0)
<ul> <li>STABLE MONITOR</li> </ul>	
<ol><li>Cataract, diabetic (E11.36)</li></ol>	
<ul> <li>STABLE OPHTHAL EVAL</li> </ul>	-
<ol><li>Cerebral atrophy (G31.9)</li></ol>	
<ul> <li>MILD STABLE MONITOR</li> </ul>	
<ol> <li>Controlled diabetes mellitus of insulin (E11.59)</li> </ol>	with circulatory complication, without long-term current use
<ul> <li>STABLE MONITOR</li> </ul>	
<ol> <li>Controlled type 2 diabetes m insulin (E11.65)</li> </ol>	ellitus with hyperglycemia, without long-term current use of
<ul> <li>DISC DIET/EXERCISE CH</li> </ul>	HECK LAB CONT MEDS STABLE
<ol><li>Diabetic nephropathy (E11.2)</li></ol>	1)
<ul> <li>STABLE MONITOR</li> </ul>	



# Dementia: Unspecified vs Dementia in other disease

#### Dementia unspecified

- F03.XX: <u>Unspecified</u> Dementia (includes dementia classified as senile, presenile, or major neurocognitive disorder that is not otherwise specified.)
  - F03.911 : Unspecified dementia, unspecified severity, <u>with agitation</u>
  - F03.918 : Unspecified dementia, unspecified severity, <u>with Other behavioral disturbance</u> (such as sleep disturbance, social disinhibition, or sexual disinhibition)
  - F03.A\_: Unspecified dementia, Mild
  - F03.B\_: Unspecified dementia, Moderate
  - F03.C\_: Unspecified dementia, Severe

#### Dementia in other disease

- F01.XX: <u>Vascular</u> Dementia (code first underlying physiological condition or sequelae of cerebrovascular disease)
- F02.XX: Dementia <u>in other disease</u> classified elsewhere
  - (code first underlying physiological condition, such as: Alzheimer's, Cerebral Lipidosis, Lewy Bodies, Parkinsonism, Epilepsy and recurrent seizures, HIV, Huntington's disease, Hypothyroidism, multiple Sclerosis, Parkinson's disease, etc....)
  - Tip: 2 codes are used for this diagnosis

Dementia related codes exists in both v24(HCC 51, 52) & v28(HCC 125,126,127)



### Dementia

Dementia in other disease: G30.9 + F02.80 v24(HCC 52); v28(HCC 157)

\*The underlying condition – Alzheimer's disease is documented

Diagnose: Dementia in other diseases classified elsewhere, unspecified severity, without behavioral disturba... (F02.80) TODAY'S ASSESSMENT: Stable. Continue with daily supportive care. Monitor behavior, meal intake, and ADL function closely.

#### Diagnose: Alzheimer's disease, unspecified (G30.9)

TODAY'S ASSESSMENT: Stable. Continue with daily supportive care. Monitor behavior, meal intake, and ADL function closely.

#### Unspecified Dementia with behavioral disturbance: F03.93 v24(HCC 51); v28(HCC 157)

Assess Condition: Unspecified dementia, unspecified severity, with mood disturbance (F03.93) STATUS: Unchanged

TODAY'S ASSESSMENT: Mentation stable, behaviors stable on current regimen



# Summary

- Diagnosis MUST be supported by documentation of care in the medical records in order to show that you have addressed each condition on that visit.
- ✓ Remember to use MEAT Monitor, Evaluate, Assess, Treat.
- Ensure correct narrative documentation of the diagnosis vs. the numerical diagnosis code.
- ✓ The type of NEC complication needs to be documented.
- ✓ Inconsistent & contradictory diagnoses in the note are not captured.



### SCAN Updates

Christine Ellison – Supervisor, Risk Adjustment Audit Operations Michelle Nguyen - Manager of Risk Adjustment Programs

# **Upcoming Audits**

Status	Audit	DOS	Audit Timeline
Ending	CMS National Sample CY21 Audit	2020	Final deadline: 5/11/23
Ending	DHCS 2023 Encounter Data Validation Study	2021	Final deadline: 5/29/23
Upcoming	Group Level Audit (GLA)	TBD	Summer – Fall 2023
Upcoming	Retrospective Audit	2022	Summer – Winter 2023





- Request for the historical encounter data of members new to Scan
  - Identified **3216** new HCCs not previously accepted by CMS from 2022 efforts
- Actions:
  - Pull RPT\_NEW\_MEMBER\_NETWORK from SCAN Portal
    - Posted every February of each year starting 2022
    - List includes members new to SCAN in 2023
  - Submit ICE files or 837 files of encounter data for <u>2021 and 2022 DOS</u> for the members on our list regardless of their previous insurer.
    - Follow ICE Instructions exactly so file does not get denied
  - Final due date June 30, 2023



### **Encounter Submission Sweep Deadlines**

### CMS Sweep Upcoming Deadlines:

Sweep Period	DOS	Encounter Data Deadlines
Extended January Sweep – 2022 PY Final Run	01/01/2021 - 12/31/2021	<b>SCAN – Friday, June 30, 2023</b> <b>CMS</b> – Friday, July 31, 2023
September Sweep – 2024 PY Initial	07/01/2022 - 06/30/2023	SCAN – Friday, August 4, 2023 CMS – Friday, September 1, 2023
January Sweep – 2023 PY Final Run	01/01/2022 - 12/31/2022	SCAN – Friday, December 15, 2023 CMS – Friday, January 31, 2024

RAF\_deadlines\_2020PY\_2021.04.pdf



# Key Take-Aways

### Takeaways

- Ensure Accurate and Appropriate Diagnosis Documentation
  - Please be sure to incorporate Updated Documentation Training for your Coders and Providers
    - Changes to the diagnostic classification system for Part C HCC Model (including v24 and v28 HCC model)
    - Educate on how to avoid the most common documentation errors
- Submit your Encounter Data Timely and Accurately



# Questions covered during webinar



- For the New Member Program, can I still send 2021 DOS data via ICE and/or 837 files?
  - Yes, we can still accept 2021 DOS data via ICE and 837 since CMS granted an extension





- Will there be an extended deadline for 2022 DOS?
  - There will not be an extended deadline for 2022 DOS. CMS only provided an extended deadline for 2021 DOS.





- What date of service does the v28 model apply to?
  - The v28 model is effective starting with 2023 dates of service.





- Regarding V24 and V28 models, will SCAN be able to provide a calculation tool for their medical groups for the blending percentages over the next three years?
  - SCAN will not provide a tool, but if you would like training on the blended model RAF calculation, please reach out to <u>HCIOutreach@scanhealthplan.com</u>





- Are rest pain, ulceration, and gangrene the only complications that will be counted for PVD?
  - Yes, only those 3 complication codes are included in the V28 model





- Telehealth Risk Adjustment Applicability (Audio/Video) PHE ending
  - SCAN follows CMS ruling on using audio/video telehealth visit. Although PHE is ending, we have not received any change in Telehealth guidance from CMS. We will notify everyone as soon as we get any changes from CMS.



### **Contact Information**

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- Risk Adjustment and Encounter Reports: Michelle Nguyen <u>Mnguyen3@SCANHealthPlan.com</u>
- Risk Adjustment Audits: Christine Ellison

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# Appendix

Coding Guidelines + Examples of Correct and Incorrect Documentation

### Vascular - Diabetes Mellitus

Exists in v24 only				Exists in	both v24 &	& v28			
	Drug or chemical induced diabetes mellitus with:						Diabetes	Mellitus:	
peripheral angiopathy with gangrene E09.52 v24(HCC 106)	foot ulcer E09.621 v24(HCC 161)	other skin ulcer E09.622 v24(HCC 161)		due to underlying condition with diabetic peripheral angiopathy with or without gangrene E08.52, E08.51 v24(HCC 18, 106,108); v28(HCC 37)	type 1 with peripheral angiopathy with or without gangrene E10.52, E10.51 v24(HCC 18, 106,108); v28(HCC 37)	type 2 with peripheral angiopathy with or without gangrene E11.52, E11.51 v24(HCC 18, 106,108); v28(HCC 37)	Other specified diabetes mellitus with peripheral angiopathy with or without gangrene E13.52, E13.51 v24(HCC 18, 106,108); v28(HCC 37)		

DM with vascular complications – Dx that exists in the v24 vs. v28 model



### Metabolic – common codes continued

- Exists in v24 only
  - <u>Other & unspecified</u> metabolic disorders E88.01, E88.40, E88.41, E88.42, E88.49 v24(HCC 23)
  - Hereditary hemochromatosis E83.110 v24(HCC 23)
  - Other disorders of amino-acid metabolism E72.00
     E72.52 v24(HCC 23)
  - Thymus disorders E32.0 E32.9, E34.4 v24(HCC 23)
  - Parathyroid gland disorder E21.4, E21.5 v24(HCC 23)
  - Cushing's syndrome E24.1 E24.9 v24(HCC 23)
  - Adrenogenital disorders E25.0 E25.9, E26.01, E26.02 v24(HCC 23)

- Exists in both v24 & v28
  - <u>Other specified metabolic disorders E88.89</u> v24(HCC 23); v28(HCC 50)
  - Amyloidosis related codes E85.0 E85.89, E85.9 v24(HCC 23); v28(HCC 50)
  - Porphyria related codes E80.0 E80.3 v24(HCC 23); v28(HCC 50)
  - Carcinoid syndrome E34.0 v24(HCC 12); v28(HCC 17)



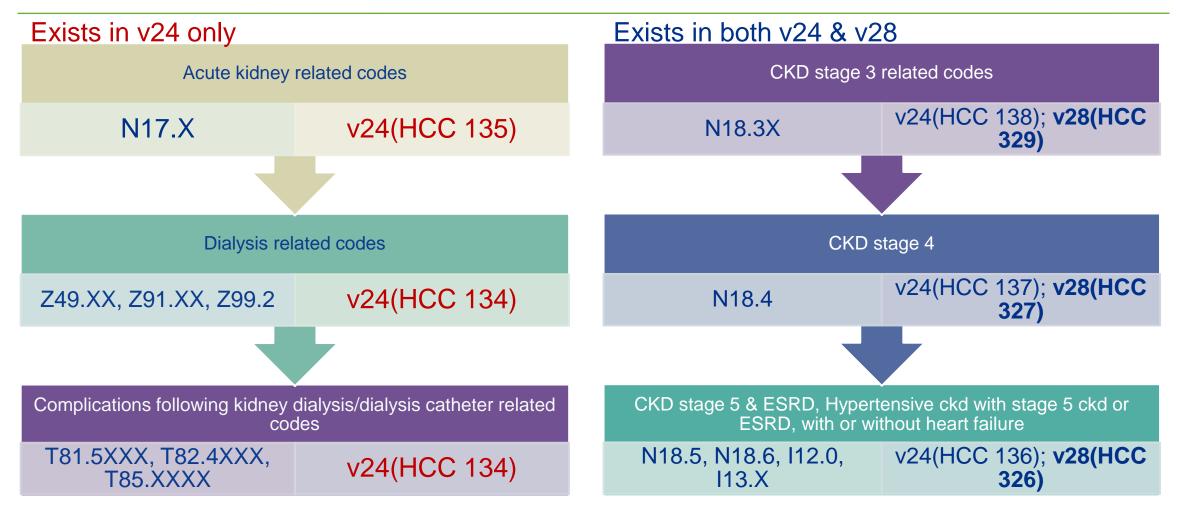
### Metabolic – common codes continued

- Exists in v24 only
  - Other adrenocortical overactivity E27.0 v24(HCC 23)
  - <u>Other disorders</u> of glucosaminoglycan metabolism/Glucosaminoglycan metabolism disorder, <u>unspecified</u> E76.8, E76.9 v24(HCC 23)
  - Hyperaldosteronism related codes E26.09 -E26.9 v24(HCC 23)
  - Other disorders of adrenal gland E27.2 E27.9 v24(HCC 23)
  - Other specified and unspecified nutritional and metabolic disorders E70.0 - E71.548 v24(HCC 23)

- Exists in both v24 & v28
  - Primary adrenocortical insufficiency E27.1 v24(HCC 23); v28(HCC 51)
  - <u>Specified disorders of glycosaminoglycan</u> metabolism E76.01 - E76.03, E76.1 - E76.3 v24(HCC 23); v28(HCC 49)



### Kidney – common codes





### Status codes

- Exists in v24 only
  - Acquired absence of toe related codes Z89.411, Z89.412, Z89.419, Z89.421, Z89.422, Z89.429 v24(HCC 189)
- Exists in both v24 & v28
  - Stem cell & bone marrow transplant related codes M31.11, T86.5, T86.0X, Z48.29, Z94.8X v24(HCC 186); v28(HCC 454)
  - Heart & heart-lung transplant related codes T86.2X, T86.2XX, T86.3X, Z48.21, Z48.280, Z94.1, Z94.3 v24(HCC 186); v28(HCC 221)
  - Liver transplant related codes T86.4X, Z48.23, Z94.4 v24(HCC 186); v28(HCC 62)
  - Lung transplant related codes T86.81, Z48.24, Z94.2
     v24(HCC 186); v28(HCC 276)
  - Intestine transplant related codes T86.85X, Z94.82 v24(HCC 186); v28(HCC 77)
  - Pancreas transplant related codes Z94.83 v24(HCC 186); v28(HCC 35)
  - Presence of heart assist device/Implantable artificial heart Z95.81X v24(HCC 186); v28(HCC 223)



# Diagnosis Code References:

- Optum 360 (2022), ICD-10-CM Expert for Physicians. The complete official code set.
- <u>https://www.cms.gov/files/document/2024-advance-notice-pdf.pdf</u>
- <u>https://www.cms.gov/files/document/fy-2023-icd-10-cm-coding-guidelines-updated-01/11/2023.pdf</u>
- <u>https://www.cms.gov/medicare/icd-10/2023-icd-10-cm</u>
- <u>https://www.uptodate.com/contents/immune-thrombocytopenia-itp-in-adults-clinical-manifestations-and-diagnosis?search=itp&source=search\_result&selectedTitle=1~150&usage\_type=default&dis\_play\_rank=1
  </u>
- For additional coding questions:
  - <u>coding@scanhealthplan.com</u>



#### Table II-4. HCC Differences Between the Current and Proposed CMS-HCC Risk Adjustment Models, by Disease Group

Table II-4. HCC Differences Between the Current and Proposed CMS-HCC Risk Adjustment Models, by Disease Group		
2020 model (V24)	Proposed model (V28)	
86 payment HCCs     9,797 FY22/FY23 ICD-10 diagnosis codes mapped to an HCC for payment	115 payment HCCs     7,770 FY22/FY23 ICD-10 diagnosis codes mapped to an HCC for payment	
Infectious Disease Group: 3 HCCs • HCC 1 (HIV/AIDS) • HCC 2 (Septicemia, Sepsis, Systemic Inflammatory Response Syndrome/Shock) • HCC 6 (Opportunistic Infections)	Infectious Disease Group: 3 HCCs • HCC 1 (HIV/AIDS) • HCC 2 (Septicemia, Sepsis, Systemic Inflammatory Response Syndrome/Shock) • HCC 6 (Opportunistic Infections)	
Neoplasm Disease Group: 5 HCCs • HCC 8 (Metastatic Cancer and Acute Leukemia) • HCC 9 (Lung and Other Severe Cancers) • HCC 10 (Lymphoma and Other Cancers) • HCC 11 (Colorectal, Bladder, and Other Cancers) • HCC 12 (Breast, Prostate, and Other Cancers and Tumors)	Neoplasm Disease Group: 7 HCCs • HCC 17 (Cancer Metastatic to Lung, Liver, Brain, and Other Organs; Acute Myeloid Leukemia Except Promyelocytic) • HCC 18 (Cancer Metastatic to Bone, Other and Unspecified Metastatic Cancer; Acute Leukemia Except Myeloid) • HCC 19 (Myelodysplastic Syndromes, Multiple Myeloma, and Other Cancers) • HCC 20 (Lung and Other Severe Cancers) • HCC 21 (Lymphoma and Other Cancers) • HCC 22 (Bladder, Colorectal, and Other Cancers) • HCC 23 (Prostate, Breast, and Other Cancers and Tumors)	
Diabetes Disease Group: 3 HCCs • HCC 17 (Diabetes with Acute Complications) • HCC 18 (Diabetes with Chronic Complications) • HCC 19 (Diabetes without Complication)	Diabetes Disease Group: 4 HCCs • HCC 35 (Pancreas Transplant Status) • HCC 36 (Diabetes with Severe Acute Complications) • HCC 37 (Diabetes with Chronic Complications) • HCC 38 (Diabetes with Glycemic, Unspecified, or No Complications)	
Metabolic Disease Group: 3 HCCs • HCC 21 (Protein-Calorie Malnutrition) • HCC 22 (Morbid Obesity)	Metabolic Disease Group: 4 HCCs • HCC 48 (Morbid Obesity) • HCC 49 (Specified Lysosomal Storage Disorders)	

2020 model (V24)	Proposed model (V28)
HCC 23 (Other Significant Endocrine and Metabolic Disorders)	HCC 50 (Amyloidosis, Porphyria, and Other Specified Metabolic Disorders)     HCC 51 (Addison's and Cushing's Diseases, Acromegaly, and Other Specified Endocrine Disorders)
Liver Disease Group: 3 HCCs • HCC 27 (End-Stage Liver Disease) • HCC 28 (Cirrhosis of Liver) • HCC 29 (Chronic Hepatitis)	Liver Disease Group: 5 HCCs • HCC 62 (Liver Transplant Status/Complications) • HCC 63 (Chronic Liver Failure/End-Stage Liver Disorders) • HCC 64 (Cirrhosis of Liver) • HCC 65 (Chronic Hepatitis) • HCC 68 (Cholangitis and Obstruction of Bile Duct Without Gallstones)
Gastrointestinal Disease Group: 3 HCCs • HCC 33 (Intestinal Obstruction/Perforation) • HCC 34 (Chronic Pancreatitis) • HCC 35 (Inflammatory Bowel Disease)	Gastrointestinal Disease Group: 5 HCCs • HCC 77 (Intestine Transplant Status/Complications) • HCC 78 (Intestinal Obstruction/Perforation) • HCC 79 (Chronic Pancreatitis) • HCC 80 (Crohn's Disease (Regional Enteritis)) • HCC 81 (Ulcerative Colitis)
Musculoskeletal Disease Group: 2 HCCs • HCC 39 (Bone/Joint/Muscle Infections/Necrosis) • HCC 40 (Rheumatoid Arthritis and Inflammatory Connective Tissue Disease)	Musculoskeletal Disease Group: 3 HCCs • HCC 92 (Bone/Joint/Muscle/Severe Soft Tissue Infections/Necrosis) • HCC 93 (Rheumatoid Arthritis and Other Specified Inflammatory Rheumatic Disorders) • HCC 94 (Systemic Lupus Erythematosus and Other Specified Systemic Connective Tissue Disorders)
Blood Disease Group: 3 HCCs • HCC 46 (Severe Hematological Disorders) • HCC 47 (Disorders of Immunity) • HCC 48 (Coagulation Defects and Other Specified Hematological Disorders)	Blood Disease Group: 7 HCCs • HCC 107 (Sickle Cell Anemia (Hb-SS) and Thalassemia Beta Zero) • HCC 108 (Sickle Cell Disorders, Except Sickle Cell Anemia (Hb-SS) and Thalassemia Beta Zero; Beta Thalassemia Major) • HCC 109 (Acquired Hemolytic, Aplastic, and Sideroblastic Anemias) • HCC 111 (Hemophilia, Male) • HCC 112 (Immune Thrombocytopenia and Specified Coagulation Defects and Hemorrhagic Conditions)

Reference: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part C and Part D Payment Policies (cms.gov)



#### Table II-4. HCC Differences Between the Current and Proposed CMS-HCC Risk Adjustment Models, by Disease Group continued

2020 model (V24)	Proposed model (V28)
	HCC 114 (Common Variable and Combined Immunodeficiencies)     HCC 115 (Specified Immunodeficiencies and White Blood Cell Disorders)
Cognitive Disease Group: 2 HCCs • HCC 51 (Dementia With Complications) • HCC 52 (Dementia Without Complication)	Cognitive Disease Group: 3 HCCs • HCC 125 (Dementia, Severe) • HCC 126 (Dementia, Moderate) • HCC 127 (Dementia, Mild or Unspecified)
Substance Use Disorder Disease Group: 3 HCCs • HCC 54 (Substance Use with Psychotic Complications) • HCC 55 (Substance Use Disorder, Moderate/Severe, or Substance Use with Complications) • HCC 56 (Substance Use Disorder, Mild, Except Alcohol and Cannabis)	Substance Use Disorder Disease Group: 5 HCCs • HCC 135 (Drug Use with Psychotic Complications) • HCC 136 (Alcohol Use with Psychotic Complications) • HCC 137 (Drug Use Disorder, Moderate/Severe, or Drug Use with Non-Psychotic Complications) • HCC 138 (Drug Use Disorder, Mild, Uncomplicated, Except Cannabis) • HCC 139 (Alcohol Use Disorder, Moderate/Severe, or Alcohol Use with Specified Non-Psychotic Complications)
Psychiatric Disease Group: 4 HCCs • HCC 57 (Schizophrenia) • HCC 58 (Reactive and Unspecified Psychosis) • HCC 59 (Major Depressive, Bipolar, and Paranoid Disorders) • HCC 60 (Personality Disorders)	Psychiatric Disease Group: 5 HCCs + HCC 151 (Schizophrenia) + HCC 152 (Psychosis, Except Schizophrenia) + HCC 153 (Personality Disorders; Anorexia/Bulimia Nervosa) + HCC 154 (Bipolar Disorders without Psychosis) + HCC 155 (Major Depression, Moderate or Severe, without Psychosis)
Spinal Disease Group: 3 HCCs • HCC 70 (Quadriplegia) • HCC 71 (Paraplegia) • HCC 72 (Spinal Cord Disorders/Injuries)	Spinal Disease Group: 3 HCCs • HCC 180 (Quadriplegia) • HCC 181 (Paraplegia) • HCC 182 (Spinal Cord Disorders/Injuries)
Neurological Disease Group: 8 HCCs • HCC 73 (Amyotrophic Lateral Sclerosis and Other Motor Neuron Disease) • HCC 74 (Cerebral Palsy)	Neurological Disease Group: 12 HCCs • HCC 190 (Anyotrophic Lateral Sclerosis and Other Motor Neuron Disease, Spinal Muscular Atrophy) • HCC 191 (Quadriplegic Cerebral Palsy) • HCC 192 (Cerebral Palsy, Except Quadriplegic)

2020 model (V24)	Proposed model (V28)
• HCC 75 (Myasthenia Gravis/Myoneural Disorders	HCC 193 (Chronic Inflammatory Demyelinating
and Guillain-Barre Syndrome/Inflammatory and Toxic	Polyneuritis and Multifocal Motor Neuropathy)
Neuropathy)	HCC 195 (Myasthenia Gravis with (Acute)
HCC 76 (Muscular Dystrophy)	Exacerbation)
HCC 77 (Multiple Sclerosis)	HCC 196 (Myasthenia Gravis without (Acute)
HCC 78 (Parkinson's and Huntington's Diseases)	Exacerbation and Other Myoneural Disorders)
HCC 79 (Seizure Disorders and Convulsions)	HCC 197 (Muscular Dystrophy)
HCC 80 (Coma, Brain Compression/Anoxic Damage)	HCC 198 (Multiple Sclerosis)
	• HCC 199 (Parkinson and Other Degenerative Disease
	of Basal Ganglia)
	• HCC 200 (Friedreich and Other Hereditary Ataxias;
	Huntington Disease)
	• HCC 201 (Seizure Disorders and Convulsions)
	HCC 202 (Coma, Brain Compression/Anoxic Damage)
Arrest Disease Group: 3 HCCs	Arrest Disease Group: 3 HCCs
HCC 82 (Respirator Dependence/Tracheostomy	HCC 211 (Respirator Dependence/Tracheostomy
Status)	Status/Complications)
HCC 83 (Respiratory Arrest)	HCC 212 (Respiratory Arrest)
• HCC 84 (Cardio-Respiratory Failure and Shock)	HCC 213 (Cardio-Respiratory Failure and Shock)
Heart Disease Group: 5 HCCs	Heart Disease Group: 10 HCCs
HCC 85 (Congestive Heart Failure)	HCC 221 (Heart Transplant Status/Complications)
HCC 86 (Acute Myocardial Infarction)	HCC 222 (End Stage Heart Failure)
HCC 87 (Unstable Angina and Other Acute Ischemic	HCC 223 (Heart Assist Device/Artificial Heart) <sup>26</sup>
Heart Disease)	HCC 224 (Acute on Chronic Heart Failure)
HCC 88 (Angina Pectoris)	HCC 225 (Acute Heart Failure (Excludes Acute on
HCC 96 (Specified Heart Arrhythmias)	Chronic))
	HCC 226 (Heart Failure, Except End Stage and
	Acute)
	HCC 227 (Cardiomyopathy/Myocarditis)
	HCC 228 (Acute Myocardial Infarction)
	• HCC 229 (Unstable Angina and Other Acute Ischemic
	Heart Disease)

Reference: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part D Payment Policies (cms.gov)



#### Table II-4. HCC Differences Between the Current and Proposed CMS-HCC Risk Adjustment Models, by Disease Group continued

2020 model (V24)	Proposed model (V28)	
	HCC 238 (Specified Heart Arrhythmias)	
Cerebrovascular Disease Group: 4 HCCs • HCC 99 (Intracranial Hemorrhage) • HCC 100 (Ischemic or Unspecified Stroke) • HCC 103 (Hemiplegia/Hemiparesis) • HCC 104 (Monoplegia, Other Paralytic Syndromes)	Cerebrovascular Disease Group: 4 HCCs • HCC 248 (Intracranial Hemorrhage) • HCC 249 (Ischemic or Unspecified Stroke) • HCC 253 (Hemiplegia/Hemiparesis) • HCC 254 (Monoplegia, Other Paralytic Syndromes)	
Vascular Disease Group: 3 HCCs • HCC 106 (Atherosclerosis of the Extremities with Ulceration or Gangrene) • HCC 107 (Vascular Disease with Complications) • HCC 108 (Vascular Disease)	Vascular Disease Group: 3 HCCs • HCC 263 (Atherosclerosis of Arteries of the Extremities with Ulceration or Gangrene) • HCC 264 (Vascular Disease with Complications) • HCC 267 (Deep Vein Thrombosis and Pulmonary Embolism)	
Lung Disease Group: 5 HCCs • HCC 110 (Cystic Fibrosis) • HCC 111 (Chronic Obstructive Pulmonary Disease) • HCC 112 (Fibrosis of Lung and Other Chronic Lung Disorders) • HCC 114 (Aspiration and Specified Bacterial Pneumonias) • HCC 115 (Pneumococcal Pneumonia, Empyema, Lung Abscess)	Lung Disease Group: 7 HCCs • HCC 276 (Lung Transplant Status/Complications) • HCC 277 (Cystic Fibrosis) • HCC 278 (Idiopathic Pulmonary Fibrosis and Lung Involvement in Systemic Sclerosis) • HCC 279 (Severe Persistent Asthma) • HCC 280 (Chronic Obstructive Pulmonary Disease, Interstitial Lung Disorders, and Other Chronic Lung Disorders) • HCC 282 (Aspiration and Specified Bacterial Pneumonias) • HCC 283 (Empyema, Lung Abscess)	
Eye Disease Group: 2 HCCs • HCC 122 (Proliferative Diabetic Retinopathy and Vitreous Hemorrhage) • HCC 124 (Exudative Macular Degeneration)	Eye Disease Group: 2 HCCs • HCC 298 (Severe Diabetic Eye Disease, Retinal Vein Occlusion, and Vitreous Hemorrhage) • HCC 300 (Exudative Macular Degeneration)	
Kidney Disease Group: 5 HCCs • HCC 134 (Dialysis Status) • HCC 135 (Acute Renal Failure) • HCC 136 (Chronic Kidney Disease, Stage 5) • HCC 137 (Chronic Kidney Disease, Severe (Stage 4))	Kidney Disease Group: 4 HCCs • HCC 326 (Chronic Kidney Disease, Stage 5) • HCC 327 (Chronic Kidney Disease, Severe (Stage 4)) • HCC 328 (Chronic Kidney Disease, Moderate (Stage 3B))	

2020 model (V24)	Proposed model (V28)		
HCC 138 (Chronic Kidney Disease, Moderate (Stage 3))	HCC 329 (Chronic Kidney Disease, Moderate (Stage 3, Except 3B))		
Skin Disease Group: 5 HCCs • HCC 157 (Pressure Ulcer of Skin with Necrosis Through to Muscle, Tendon, or Bone) • HCC 158 (Pressure Ulcer of Skin with Full Thickness Skin Loss) • HCC 159 (Pressure Ulcer of Skin with Partial Thickness Skin Loss) • HCC 161 (Chronic Ulcer of Skin, Except Pressure) • HCC 162 (Severe Skin Burn or Condition)	Skin Disease Group: 7 HCCs           • HCC 379 (Pressure Ulcer of Skin with Necrosis Through to Muscle, Tendon, or Bone)           • HCC 380 (Chronic Ulcer of Skin, Except Pressure, Through to Bone or Muscle)           • HCC 381 (Pressure Ulcer of Skin with Full Thickness Skin Loss)           • HCC 382 (Pressure Ulcer of Skin with Partial Thickness Skin Loss)           • HCC 383 (Chronic Ulcer of Skin, Except Pressure, Not Specified as Through to Bone or Muscle)           • HCC 385 (Severe Skin Burn)           • HCC 385 (Prephicus, Pemphigoid, and Other Specified Autoimmune Skin Disorders)		
Injury Disease Group: 5 HCCs • HCC 166 (Severe Head Injury) • HCC 167 (Major Head Injury) • HCC 169 (Vertebral Fractures without Spinal Cord Injury) • HCC 170 (Hip Fracture/Dislocation) • HCC 173 (Traumatic Amputations and Complications)	Injury Disease Group: 6 HCCs         • HCC 397 (Major Head Injury with Loss of Consciousness > 1 Hour)         • HCC 398 (Major Head Injury with Loss of Consciousness < 1 Hour or Unspecified)		
Complications Disease Group: 1 HCC • HCC 176 (Complications of Specified Implanted Device or Graft)	Complications Disease Group: 0 HCCs		
Amputation Disease Group: 1 HCC • HCC 189 (Amputation Status, Lower Limb/Amputation Complications)	Amputation Disease Group: 1 HCC • HCC 409 (Amputation Status, Lower Limb/Amputation Complications)		

Reference: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part C and Part D Payment Policies (cms.gov)



#### Table II-4. HCC Differences Between the Current and Proposed CMS-HCC Risk Adjustment Models, by Disease Group continued

2020 model (V24)	Proposed model (V28)
Transplant Disease Group: 1 HCC	Transplant Disease Group: 1 HCC
HCC 186 (Major Organ Transplant or Replacement	HCC 454 (Stem Cell, Including Bone Marrow,
Status)	Transplant Status/Complications)
Openings Disease Group: 1 HCC	Openings Disease Group: 1 HCC
• HCC 188 (Artificial Openings for Feeding or	• HCC 463 (Artificial Openings for Feeding or
Elimination)	Elimination)

Reference: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part D Payment Policies (cms.gov)



### Table VI-4. 2024 CMS-HCC Model with Disease Hierarchies

#### Table VI-4. 2024 CMS-HCC Model with Disease Hierarchies

CMS-HCC	If the Disease Group is listed in this column	Then drop the CMS-HCC listed in this column
	CMS-HCC Hierarchical Condition Category Label	this column
17	Cancer Metastatic to Lung, Liver, Brain, and Other Organs; Acute Myeloid Leukemia Except Promyelocytic	18, 19, 20, 21, 22, 23
18	Cancer Metastatic to Bone, Other and Unspecified Metastatic Cancer; Acute Leukemia Except Myeloid	19, 20, 21, 22, 23
19	Myelodysplastic Syndromes, Multiple Myeloma, and Other Cancers	20, 21, 22, 23
20	Lung and Other Severe Cancers	21, 22, 23
21	Lymphoma and Other Cancers	22, 23
22	Bladder, Colorectal, and Other Cancers	23
35	Pancreas Transplant Status	36, 37, 38
36	Diabetes with Severe Acute Complications	37, 38
37	Diabetes with Chronic Complications	38
62	Liver Transplant Status/Complications	63, 64, 65, 68
63	Chronic Liver Failure/End-Stage Liver Disorders	64, 65, 68, 202
64	Cirrhosis of Liver	65, 68
77	Intestine Transplant Status/Complications	78, 80, 81
80	Crohn's Disease (Regional Enteritis)	81
93	Rheumatoid Arthritis and Other Specified Inflammatory Rheumatic Disorders	94
107	Sickle Cell Anemia (Hb-SS) and Thalassemia Beta Zero	108
111	Hemophilia, Male	112
114	Common Variable and Combined Immunodeficiencies	115
125	Dementia, Severe	126, 127
126	Dementia, Moderate	127
135	Drug Use with Psychotic Complications	136, 137, 138, 139
136	Alcohol Use with Psychotic Complications	137, 138, 139
137	Drug Use Disorder, Moderate/Severe, or Drug Use with Non-Psychotic Complications	138, 139
138	Drug Use Disorder, Mild, Uncomplicated, Except Cannabis	139
151	Schizophrenia	152, 153, 154, 155

152	Psychosis, Except Schizophrenia	153, 154, 155
153	Personality Disorders; Anorexia/Bulimia Nervosa	154, 155
154	Bipolar Disorders without Psychosis	155
180	Quadriplegia	181, 182, 253, 254
181	Paraplegia	182, 254
191	Quadriplegic Cerebral Palsy	180, 181, 182, 192, 253, 254
192	Cerebral Palsy, Except Quadriplegic	180, 181, 182, 253, 254
195	Myasthenia Gravis with (Acute) Exacerbation	196
211	Respirator Dependence/Tracheostomy Status/Complications	212, 213
212	Respiratory Arrest	213
221	Heart Transplant Status/Complications	222, 223, 224, 225, 226, 227
222	End-Stage Heart Failure	223, 224, 225, 226, 227
223	Heart Failure with Heart Assist Device/Artificial Heart	224, 225, 226, 227
224	Acute on Chronic Heart Failure	225, 226, 227
225	Acute Heart Failure (Excludes Acute on Chronic)	226, 227
226	Heart Failure, Except End-Stage and Acute	227
228	Acute Myocardial Infarction	229
248	Intracranial Hemorrhage	249
253	Hemiplegia/Hemiparesis	254
263	Atherosclerosis of Arteries of the Extremities with Ulceration or Gangrene	264, 383, 409
276	Lung Transplant Status/Complications	277, 278, 279, 280
277	Cystic Fibrosis	278, 279, 280
278	Idiopathic Pulmonary Fibrosis and Lung Involvement in Systemic Sclerosis	279, 280
279	Severe Persistent Asthma	280

Refence: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part D Payment Policies (cms.gov)



#### Table VI-4. 2024 CMS-HCC Model with Disease Hierarchies continued

#### Table VI-4. 2024 CMS-HCC Model with Disease Hierarchies

CMS-HCC	If the Disease Group is listed in this column	Then drop the CMS-HCC listed in this column
282	Aspiration and Specified Bacterial Pneumonias	283
326	Chronic Kidney Disease, Stage 5	327, 328, 329
327	Chronic Kidney Disease, Severe (Stage 4)	328, 329
328	Chronic Kidney Disease, Moderate (Stage 3B)	329
379	Pressure Ulcer of Skin with Necrosis Through to Muscle, Tendon, or Bone	380, 381, 382, 383
380	Chronic Ulcer of Skin, Except Pressure, Through to Bone or Muscle	381, 382, 383
381	Pressure Ulcer of Skin with Full Thickness Skin Loss	382, 383
382	Pressure Ulcer of Skin with Partial Thickness Skin Loss	383
397	Major Head Injury with Loss of Consciousness > 1 Hour	202, 398, 399
398	Major Head Injury with Loss of Consciousness < 1 Hour or Unspecified	202, 399
405	Traumatic Amputations and Complications	409

Reference: Advance Notice of Methodological Changes for Calendar Year (CY) 2024 for Medicare Advantage (MA) Capitation Rates and Part C and Part D Payment Policies (cms.gov)

